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Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Daniel YAP)	Re: Information Disclosure
)	Statement
Serial No.: 10/786,721)	Group: 1076
)	
Filed: February 24, 2004)	Examiner: not yet assigned
)	
For: "MULTIPLE WAVELENGTH PHOTONIC)	Our Ref: B-4664NP 621523-9
OSCILLATOR")	Date: April 12, 2005

Commissioner for Patents
P.O. Box 1450
Alexandria VA, 22313-1450

Sir:

In accordance with the Applicant's duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. Copies of the foreign patent documents and the non-patent publications listed on the enclosed Form PTO-1449 (modified) are enclosed herewith for the Examiner's convenience. Copies of the U.S. patent documents and/or U.S. patent application publications listed on the enclosed Form PTO-1449 (modified) are not enclosed in accordance with 37 C.F.R. § 1.98(a)(2)(ii), with the exception of U.S. Patent Application No. 10/766,103 because it has not yet been published.

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the International Search Report for a related PCT Application No. PCT/US02/36844. A copy of the Search Report (3 pages) is enclosed herewith.

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the International Search Report for a related PCT Application No. PCT/US02/36845. A copy of the Search

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Report (3 pages) is enclosed herewith.

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the International Search Report for a related PCT Application No. PCT/US02/36846. A copy of the Search Report (3 pages) is enclosed herewith.

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the International Search Report for a related PCT Application No. PCT/US02/36847. A copy of the Search Report (4 pages) is enclosed herewith.

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the International Search Report for a related PCT Application No. PCT/US02/36849. A copy of the Search Report (5 pages) is enclosed herewith.

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the International Search Report for a related PCT Application No. PCT/US02/36982. A copy of the Search Report (4 pages) is enclosed herewith.

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the International Search Report for a related PCT Application No. PCT/US02/36983. A copy of the Search Report (3 pages) is enclosed herewith.

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the International Search Report for a related PCT Application No. PCT/US03/10730. A copy of the Search Report (3 pages) is enclosed herewith.

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the International Search Report for a related PCT Application No. PCT/US03/10959. A copy of the Search Report (3 pages) is enclosed herewith.

Japanese Document No. 07-264136 is not in English. A concise English-language explanation of the relevance of Japanese Document No. 07-264136 can be found in the enclosed English-language abstract.

It should be noted that the above-identified application may be related by subject matter to the following U.S. Application(s): 10/116,854, filed April 5, 2002 (now U.S. Patent No. 6,724,523 B2); 10/116,801, filed April 5, 2002 (published as U.S. Patent Application Publication No. 2003/0090767 A1); 10/116,829, filed April 5, 2002 (published as U.S. Patent Application Publication No. 2003/0091097 A1); 10/772,112, filed February 3, 2004 (now U.S. Patent No. 6,852,556 B2); 10/116,800, filed April 5, 2002 (now U.S. Patent No. 6,872,985 B2); 10/116,799, filed April 5, 2002 (published as U.S. Patent Application No. 2003/0089843 A1); 10/417,011, filed April 16, 2003 (published as U.S. Patent Application Publication No. 2003/0197917 A1); 10/417,020, filed April 16, 2003 (now U.S. Patent No. 6,867,904 B2); 10/824,197, filed April 13, 2004 (published as U.S. Patent Application Publication No. 2004/0264977 A1); 10/766,103, filed January 27, 2004. Pursuant to 37 C.F.R. 1.56(a) and M.P.E.P. 2004, paragraph 9, the applicant brings these co-pending applications to the attention of the Examiner. The Examiner should consider this information during the prosecution of the above-identified application. However, citation of these applications does not constitute an admission that the claims of the present application are substantially similar or similar to those of the applications

listed above.

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The Applicant believes that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered on the merits. If this IDS is being submitted after the issuance of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, please contact the undersigned to authorize a payment of \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c), if the Examiner believes that such a fee is due in order for this IDS to be considered on the merits.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

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The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450", on April 12, 2005 by Shana Morda.

Respectfully submitted,



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Enclosures: Form PTO-1449 (modified) (7 pages)
Copy of Search Report for PCT/US02/36844 (3 pages)
Copy of Search Report for PCT/US02/36845 (3 pages)
Copy of Search Report for PCT/US02/36846 (3 pages)
Copy of Search Report for PCT/US02/36847 (4 pages)
Copy of Search Report for PCT/US02/36849 (5 pages)
Copy of Search Report for PCT/US02/36982 (4 pages)
Copy of Search Report for PCT/US02/36983 (3 pages)
Copy of Search Report for PCT/US03/10730 (3 pages)
Copy of Search Report for PCT/US03/10959 (3 pages)
Copy of each Non-U.S. Patent documents listed on Form PTO-1449 (modified), with the exception of U.S. Patent Application No. 10/766,103

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Form PTO-1449 (Modified) Page 1 of 7	ATTY DOCKET NO. B-4664NP 621523-9	U.S. SERIAL NO. 10/786,721
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Daniel YAP	
	FILING DATE February 24, 2004	GROUP 1076

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB- CLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
/DWL/	4,028,702	6/1977	Levine	343	100 SA	
	4,296,319	10/1981	Franks et al.	250	227	
	5,001,336	3/1991	De La Chapelle	250	208.2	
	5,153,762	10/1992	Huber	359	125	
	5,379,309	1/1995	Logan, Jr.	372	18	
	5,484,806	1/1995	Pelouch et al.	372	18	
	5,404,006	1/1995	Schaffner et al.	250	208.2	
	5,577,057	11/1996	Friskien	372	18	
	5,617,239	4/1997	Walker	359	191	
	5,625,729	4/1997	Brown	385	31	
	5,687,261	11/1997	Logan	385	24	
	5,710,651	1/1998	Logan, Jr.	359	145	
	5,723,856	4/1997	Yao et al.	250	227.1	
	5,777,778	4/1997	Yao	359	245	
	5,796,506	4/1997	Tsai	359	191	
	5,859,611	1/1999	Lam et al.	342	368	
	5,917,179	6/1999	Yao	250	227.1	
	5,917,970	6/1999	Burns et al.	385	24	
	5,929,430	7/1999	Yao et al.	250	205	
	5,930,031	7/1999	Zhou et al.	359	344	
	6,027,254	1/2000	Yamada et al.	385	88	
	6,178,036 B1	1/2001	Yao	359	334	
	6,188,808 B1	2/2001	Zhou et al.	385	3	
	6,262,681 B1	7/2001	Persechini	342	188	

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 102; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB-CLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
/DWL/	6,388,787 B1	5/2002	Bischoff	359	187	
	6,580,532 B1	6/2003	Yao et al.	359	181	
	6,591,026 B2	7/2003	Endo et al.	365	15	
	6,643,299 B1	12/2003	Lin	372	6	
	6,724,523 B2	4/2004	Yap	359	333	
	6,724,783 B2	4/2004	Jalali et al.	372	6	
	6,852,556 B2	2/2005	Yap	438	22	
	6,867,904 B2	3/2005	Ng et al.	359	333	
	6,872,985 B2	3/2005	Yap	257	32	
	2003/0089843 A1	6/2003	Sayyah et al.	257	227.21	
	2003/0091097 A1	5/2003	Yap et al.	359	181	
	2003/0091097 A1	5/2003	Yao et al.	375	132	
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	2003/0227629 A1	12/2003	Dobbs et al.	359	437	
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✓	10/766,103		Ng et al.			1/24/2004

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
/DWL	0 352 747 A2	1/1990	EP			
	07-264136	10/1995	JP			abstract
	99/66613	10/1995	WO			
	00/44074	7/2000	WO			
	00/45213 A1	08/2000	WO			
	01/80507 A2	10/2001	WO			
	01/29992 A1	4/2001	WO			
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✓	03/043231 A2	5/2003	WO			

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

/D.W.L.	Agrawal, G.P., <i>Nonlinear Fiber Optics</i> , Academic Press, Chapter 9, pp. 370-398 (1995).
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✓	Fukushima, S., et al., "Direct Opto-Electronic Synthesis of mW-Level Millimeter-Wave Signals Using An Optical Frequency Comb Generator and a Uni-Travelling-Carrier Photodiode," <i>IEEE MTT-S Digest</i> , pp. 69-72 (2001).

EXAMINER /Danny Wai Lun Leung/	DATE CONSIDERED (03/20/2007)
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §9; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

/Danny Wai Lun Leung/

10/11/2008

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LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Daniel YAP	
	FILING DATE February 24, 2004	GROUP 1076

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

/DWL/	Ghirardi, F., et al., "Monolithic Integration of an InP Based Polarization Diversity Heterodyne Photoreceiver with Electrooptic Adjustability," <i>Journal of Lightwave Technology</i> , Vol. 13, No. 7, pp 1536-1549 (July 1995).
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	FILING DATE February 24, 2004	GROUP 1076

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

/DWL	Kobayashi, Y., et al., "Optical FM signal Amplification and FM Noise Reduction in an Injection Locked AlGaAs Semiconductor Laser," <i>Electronics Letters</i> , Vol. 17, No. 22, pp. 849-851 (October 29, 1981).
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	Sakamoto, S.R., et al., "Substrate Removed GaAs-AlGaAs Electrooptic Modulators," <i>IEEE Photonics Technology Letters</i> , Vol. 11, No. 10, pp. 1244-1246 (1999).
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/DWL/	Sayyah, K., et al., "Multi-Tone Photonic Oscillator," <i>Proceedings of the SPIE</i> , Vol. 4490, pp. 52-62 (2001).
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